

APPENDIX V

STATEMENT OF COMPLIANCE

**PURSUANT TO PART III SECTION 47 OF
THE INVESTMENT FUNDS ACT 2006**

With reference to the Fund Administrator Licence granted to

.....

Under the Investment Funds Act 2006 (the "Act")

I.....
[name and position]

as officer of the company hereby confirm that during the financial year from [date] to [date]

- a) the licence holder has complied with the requirements of Part III of the Act; or
- b) the licence holder has complied with the requirements of Part III of the Act with exception to the matters detailed below*:

Signature

Print Name

Date

Note: Every licensed Fund Administrator, within 4 months from the end of its financial year end, must deliver to the Authority a Statement of Compliance. Any undertaking failing to deliver a Statement as required is liable on summary conviction to a fine of \$10,000.

*Delete as appropriate.