

CERTIFICATE OF COMPLIANCE

PURSUANT TO SECTION 35 OF THE TRUSTS (REGULATION OF TRUST BUSINESS) ACT 2001

With reference to the trust licence granted to:

.....
under the Trusts (Regulation of Trust Business) Act 2001 (“the Act”).

I/We.....
[name(s) and position(s)]

as officer(s) of the undertaking hereby confirm that, during the financial year ended

.....the business of the licence holder has, to the best of my/our
knowledge and belief, been conducted in accordance with:

- (a) the minimum licensing criteria in the Act
- (b) the relevant provisions of the Code of Practice issued pursuant to section 7 of the Act
- (c) (for non-companies only) the limitations imposed by section 11(3) of the Act

with the exception of the matters detailed below:

Signature(s)

Date(s)

Every licenced undertaking shall, within 4 months from the end of its financial year, deliver to the Authority a certificate of compliance. Any undertaking failing to deliver a certificate as required is liable on summary conviction to a fine of \$10,000.