

# Registration of Non-Licensed Persons

## Proceeds of Crime (Anti-Money Laundering and Anti-Terrorist Financing Supervision and Enforcement) Act 2008



<b>BUSINESS INFORMATION:</b> For definition of "Type of Business" see section 2 of the Proceeds of Crime (Anti-Money Laundering and Anti-Terrorist Financing Supervision and Enforcement) Act 2008	
<b>Legal Name</b>	
<b>Type of Business</b>	<input type="checkbox"/> Excluded Fund - Investment Funds Act 2006 s. 6 <input type="checkbox"/> Exempted Fund - Investment Funds Act 2006 s. 7 <input type="checkbox"/> Exempted - Investment Business Act 2003 s.13 & (Exemptions) Order 2004
<b>AML/ATF Policies &amp; Procedures</b>	<input type="checkbox"/> Anti-Money Laundering & Anti-Terrorist Financing (AML/ATF) Policies & Procedures

### COMPANY INFORMATION

<b>PHYSICAL ADDRESS:</b>	
<b>Address 1</b>	
<b>Address 2</b>	
<b>Parish</b>	
<b>Post Code</b>	

<b>MAILING ADDRESS:</b>	
<b>Address 1</b>	
<b>Address 2</b>	
<b>City/Parish</b>	
<b>State/Province</b>	
<b>Country</b>	
<b>Post/Zip Code</b>	

<b>BILLING INFORMATION:</b>	
<b>Address 1</b>	
<b>Address 2</b>	
<b>City/Parish</b>	
<b>State/Province</b>	
<b>Country</b>	
<b>Post/Zip Code</b>	
<b>Email (required)</b>	

<b>GENERAL CONTACT INFORMATION:</b>	
<b>Name</b>	
<b>Telephone</b>	
<b>Fax</b>	
<b>Email</b>	
<b>Website (if applicable)</b>	

### RESPONSIBILITIES

<b>REPORTING OFFICER:</b> <i>Person nominated to receive internal suspicious activity reports</i>	
<b>Name</b>	
<b>Telephone</b>	
<b>Fax</b>	
<b>Email</b>	
<b>Position (if applicable)</b>	

<b>COMPLIANCE OFFICER:</b> <i>Person responsible for oversight of the AML/ATF regulated financial institution's compliance with AML/ATF regulations</i>	
<b>Name</b>	
<b>Telephone</b>	
<b>Fax</b>	
<b>Email</b>	
<b>Position (if applicable)</b>	

<b>PERSON COMPLETING THIS APPLICATION</b>	
<b>Name</b>	
<b>Telephone</b>	
<b>Fax</b>	
<b>Email</b>	
<b>Position</b>	
<b>Sign &amp; Date</b>	

Print and complete this form.

Attach the \$105 application fee (cheques should be payable to the BMA) along with your Board-approved AML/ATF Policies & Procedures and forward to: The Bermuda Monetary Authority, BMA House, 43 Victoria Street, Hamilton HM 12 for the attention of the AML/ATF Compliance Unit. Effective 1st January 2011, an annual AML fee of \$825 is also due. You will receive notification of the annual AML fee due at the completion of the registration process. Note: Failure to notify the BMA of any material changes or significant inaccuracies in the information provided in an application for registration is an offence punishable with a fine of up to \$100,000 and/or a term of imprisonment of five years.