

**APPENDIX V**

**STATEMENT OF COMPLIANCE**

**PURSUANT TO PART III SECTION 47 OF  
THE INVESTMENT FUNDS ACT 2006**

With reference to the Fund Administrator Licence granted to

.....

Under the Investment Funds Act 2006 (the "Act")

I.....

[name and position]

as officer of the company hereby confirm that during the financial year from [date] to [date]

- a) the licence holder has complied with the requirements of Part III of the Act; or
- b) the licence holder has complied with the requirements of Part III of the Act with exception to the matters detailed below\*:

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**Signature** .....

**Print Name** .....

**Date** .....

**Note:** Every licensed Fund Administrator, within 4 months from the end of its financial year end, must deliver to the Authority a Statement of Compliance. Any undertaking failing to deliver a Statement as required is liable on summary conviction to a fine of \$10,000.

\*Delete as appropriate.