

INVESTMENT FUNDS ACT 2006
SECTION 26 1(b)

Statement of Compliance

[This must be filed within 6 months of the end of a fund's financial year]

Name of Authorised Fund

I [Name], being authorised by the operator of the above fund to report in this regard, do hereby confirm that:

- a. The fund has at all times during the financial year from [date] to [date] been in compliance with the provisions of the Act and of applicable fund rules and prospectus rules; or
- b. The fund has been in compliance with the provisions of the Act and of applicable fund rules and prospectus rules, other than as detailed below:-

- i. _____
- ii. _____
- iii. _____

(Note: where the fund has noted above that it is not in compliance due to audited financial statements not being completed, an additional Statement of Compliance must be provided to the Authority within 5 business days of the date upon which the financials are finalised, advising that the fund has complied with this requirement.)

*Delete a. or b. as appropriate.

Signed: _____

Print Name: _____

Capacity: _____ [director/trustee/partner]

Date: _____