

INVESTMENT FUNDS ACT 2006
PRIVATE FUND – CERTIFICATION FORM
Section 6(3D) &(3E)

Notes:

A Private Fund pursuant to Section 6 of the Investment Funds Act (the “Act”) must attach this completed form to the fund’s Certification Submission which is filed with the Bermuda Monetary Authority (the “Authority”) via ERICA (the Authority’s electronic filing platform). This filing must be submitted on or before 30th June each year. The appropriate annual fee, as prescribed under the Bermuda Monetary Authority Act 1969, is due on or before 31st March each year. For fee details, refer to the ‘Fees & Penalties’ section of the Authority’s website: www.bma.bm. Please make cheques payable to the Bermuda Monetary Authority.

A) FULL NAME OF FUND:	
B) ADDRESS OF REGISTERED OFFICE:	C) BOOKS & RECORDS ACCESS:
	Please give particulars of the officer, trustee or representative resident in Bermuda having access to the books and records of the fund:
D) SERVICE PROVIDERS – THE AUTHORITY MUST BE NOTIFIED OF ANY CHANGES:	
Administrator:	
Auditor:	
Custodian or Prime Broker:	
Investment Manager:	
Registrar:	
Custodian Waiver Granted:*	
* Pursuant to section 6(2C) of the Act, the Authority may, on application by the fund, grant an exemption from section 6(2B) if the Authority has been satisfied that appropriate arrangements are in place to safeguard the fund’s property. If the fund has not appointed a custodian or prime broker then application must be made for the waiver or the fund will be in breach of the Private Fund qualification.	
E) LIST NAMES OF FUND OPERATORS (Directors/Trustees/General Partners/Managing Members):	
Name:	Country of Residence:
F) NET ASSET VALUE OF FUND:	
Total net asset value as at 31 st December prior to date of this notification:	
Net asset value per share/unit as at 31 st December prior to date of this notification:	
Total dollar value of subscriptions for the year ended 31 st December prior to date of this notification:	
Total dollar value of redemptions as at 31 st December prior to date of this notification:	

G) PLEASE SELECT THE MATERIAL CHANGE CATEGORIES FROM THE LIST BELOW AND PROVIDE ANY DETAILS OR INDICATE PAGE REFERENCES IN THE SPACE PROVIDED (IF APPLICABLE):

- 01. Basic information
- 02. Service providers, their principals and other details
- 03. Directors
- 04. Details of investment objectives and policies
- 05. Subscription/ redemption processes and price determination
- 06. Share classes or other fund capital details
- 07. New Segregated Account Companies (SACs)
- 08. Risk factors and conflicts of interest
- 09. Dividend policies
- 10. Stock exchange listing
- 11. Reports to shareholders
- 12. Where documents are made available for inspection
- 13. New material contract or amendments to existing contracts
- 14. Other

H) PLEASE SELECT RELEVANT BOX BELOW TO CONFIRM THE AUDITED GAAP/IFRS FINANCIAL STATEMENTS OR MANAGEMENT ACCOUNTS WILL BE ATTACHED TO THE ANNUAL FILING:

Management Accounts are attached. Yes
 Audited GAAP/IFRS financial statements are attached. Yes

I) PERSON AUTHORISED BY THE FUND TO FILE NOTICE OF CONTINUING QUALIFICATION AS A PRIVATE FUND:

I, the undersigned, hereby file notice that the fund continues to meet the criteria of a Private Fund as set out in section 6 of the Act. I confirm that the fund does not promote itself by communicating an invitation or inducement to the general public and it has less than 20 investors as specified in section 6(2) of the Act. The particulars set out in this notification form are true to the best of my information, knowledge and belief.

Authorised Signature: _____

Name:		Date:	
Capacity:			
Address:			
Tel. No.:		Email address:	