

APPENDIX V

STATEMENT OF COMPLIANCE

**PURSUANT TO PART VI SECTION 30
OF THE FUND ADMINISTRATION
PROVIDER BUSINESS ACT 2019**

With reference to the Fund Administration Provider licence granted to

.....

Under the Fund Administration Provider Business Act 2019 (the Act)

I.....
[name and position]

as officer of the company hereby confirm that during the financial year from [date] to [date]:

- a) the licence holder has complied with the requirements of Part VI of the Act; or
- b) the licence holder has complied with the requirements of Part VI of the Act with exception to the matters detailed below:

Signature: _____

Signature: _____

Print name: _____

Print name: _____

Title (Director/CEO): _____

Title (Director/CEO): _____

Date: _____

Date: _____

Note: Every licensed Fund Administration Provider, within four months from the end of its financial year-end, must deliver to the Authority a Statement of Compliance. Any undertaking failing to deliver a Statement as required is liable on summary conviction to a fine of \$10,000.