

APPENDIX V

STATEMENT OF COMPLIANCE

**PURSUANT TO PART VI SECTION 30 OF
THE FUND ADMINISTRATION
PROVIDER BUSINESS ACT 2019**

With reference to the Fund Administration Provider Licence granted to

.....

Under the Fund Administration Provider Business Act 2019 (the “Act”)

I.....
[name and position]

as officer of the company hereby confirm that during the financial year from to

- a) the licence holder has complied with the requirements of Part VI of the Act; or
- b) the licence holder has complied with the requirements of Part VI of the Act with exception to the matters detailed below*:

*

Signature: _____

Signature: _____

Print Name: _____

Print Name: _____

Title(Director/CEO): _____

Title(Director/CEO): _____

Date: _____

Date: _____

Note: Every licensed Fund Administration Provider, within 4 months from the end of its financial year end, must deliver to the Authority a Statement of Compliance. Any undertaking failing to deliver a Statement as required is liable on summary conviction to a fine of \$10,000.

*Delete as appropriate.