

CERTIFICATE OF COMPLIANCE

With reference to the trust licence granted to:
under the Trusts (Regulation of Trust Business) Act 2001 (Act).

I/We..... [name(s) and position(s)]

as officer(s) of the undertaking hereby confirm that during the financial year ended

the business of the licence holder has, to the best of my/our knowledge and belief, been conducted in accordance with:

- (a) The minimum licensing criteria in the Act
- (b) The relevant provisions of the Code of Practice issued pursuant to section 7 of the Act
- (c) (For non-companies only) the limitations imposed by section 11(3) of the Act with the exception of the matters detailed below:

Signature(s):

Date(s):

Note: Every licenced undertaking shall, within four months from the end of its financial year, deliver to the Authority a certificate of compliance. Any undertaking failing to deliver a certificate as required is liable on summary conviction to a fine of \$10,000.