

**APPENDIX V**

**STATEMENT OF COMPLIANCE**

**PURSUANT TO PART VI SECTION 30 OF  
THE FUND ADMINISTRATION  
PROVIDER BUSINESS ACT 2019**

With reference to the Fund Administration Provider Licence granted to

.....

Under the Fund Administration Provider Business Act 2019 (Act)

I.....

[name and position]

as officer of the company hereby confirm that during the financial year ended

a)  the licence holder has complied with the requirements of Part VI of the Act; or

b)  the licence holder has complied with the requirements of Part VI of the Act  
with exception to the matters detailed below\*:

\*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Signature:** \_\_\_\_\_

**Signature:** \_\_\_\_\_

**Print Name:** \_\_\_\_\_

**Print Name:** \_\_\_\_\_

**Title(Director/CEO):** \_\_\_\_\_

**Title(Director/CEO):** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Note:** Every licensed Fund Administration Provider, within four months from the end of its financial year end, must deliver to the Bermuda Monetary Authority a Statement of Compliance. Any undertaking failing to deliver a Statement as required is liable on summary conviction to a fine of \$10,000.

\*Delete as appropriate.